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UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
13701Total Pages in this Submission
3**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

A METHOD FOR RECOVERING 3D SCENE STRUCTURE AND CAMERA MOTION FROM POINTS, LINES AND/OR DIRECTLY FROM THE IMAGE INTENSITIES

and invented by:

John Oliensis

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 39 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 2
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL-680-252-554-US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)


16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$690.00

- ☒ A check in the amount of \$690.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
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- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


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Dated: September 1, 2000

CC:

**A METHOD FOR RECOVERING 3D SCENE STRUCTURE AND CAMERA
MOTION FROM POINTS, LINES AND/OR DIRECTLY FROM THE IMAGE
INTENSITIES**

5 **RELATED APPLICATIONS**

The present application claims priority of provisional application 60/210,099 filed on June 7, 2000.

The present application is related to U.S. application Serial No. , titled A Method for Recovering 3D Scene Structure and Camera Motion Directly from Image Intensities, filed on

10 , by the same inventor as the present application, which related application is incorporated herein by reference.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention is directed to a method for recovering 3D structure and camera motion,
15 and more particularly to a linear algorithm for recovering the structure and motion data from points, lines, and/or directly from the image intensities.

2. Prior Art

The science of rendering a 3D model from information derived from a 2D image predates computer graphics, having its roots in the fields of photogrammetry and computer vision.

20

Photogrammetry is based on the basic idea that when a picture is taken, the 3D world is projected in perspective onto a flat 2D image plane. As a result, a feature in the 2D image seen at a

particular point actually lies along a particular ray beginning at the camera and extending out to infinity. By viewing the same feature in two different photographs the actual location can be resolved by constraining the feature to lie on the intersection of two rays. This process is known as triangulation. Using this process, any point seen in at least two images can be located in 3D.

5 It is also possible to solve for unknown camera positions as well with a sufficient number of points. The techniques of photogrammetry and triangulation were used in such applications as creating topographic maps from aerial images. However the photogrammetry process is time intensive and inefficient.

10 Computer vision techniques include recovering 3D scene structure from stereo images, where correspondence between the two images is established automatically from two images via an iterative algorithm, which searches for matches between points in order to reconstruct a 3D scene. It is also possible to solve for the camera position and motion using 3D scene structure from stereo images.

15

Current computer techniques are focused on motion-based reconstruction and are a natural application of computer technology to the problem of inferring 3D structure (geometry) from 2D images. This is known as Structure-from-Motion. Structure from motion (SFM), the problem of reconstructing an unknown 3D scene from multiple 2D images, is one of the most studied

20 problems in computer vision.

Most SFM algorithms that are currently known reconstruct the scene from previously computed feature correspondences, usually tracked points. Other algorithms are direct methods that reconstruct from the images intensities without a separate stage of correspondence computation. Previous direct methods were limited to a small number of images, required strong assumptions
5 about the scene, usually planarity or employed iterative optimization and required a starting estimate.

These approaches have complementary advantages and disadvantages. Usually some fraction of the image data is of such low quality that it cannot be used to determine correspondence.

10 Feature-based methods address this problem by pre-selecting a few distinctive point or line features that are relatively easy to track, while direct methods attempt to compensate for the low quality of some of the data by exploiting the redundancy of the total data. Feature-based methods have the advantage that their input data is relatively reliable, but they neglect most of the available image information and only give sparse reconstructions of the 3D scene.

15 Direct methods have the potential to give dense and accurate 3D reconstructions, due to their input data's redundancy, but they can be unduly affected by large errors in a fraction of the data.

A method based on tracked lines is described in "A Linear Algorithm for Point and Line
20 Based Structure from Motion", M. Spetsakis, CVGIP 56:2 230-241, 1992, where the original linear algorithm for 13 lines in 3 images was presented. An optimization approach is

disclosed in C.J. Taylor, D. Kriegmann, "Structure and Motion from Line Segments in Multiple Images," PAMI 17:11 1021-1032, 1995. Additionally, in "A unified factorization algorithm for points, line segments and planes with uncertainty models" K. Morris and I. Kanade, ICCV 696-702, 1998, describes work on lines in an affine framework. A projective method for lines and points is described in "Factorization methods for projective structure and motion", B. Triggs, CVPR 845-851, 1996, which involves computing the projective depths from a small number of frames. "In Defense of the Eight-Point Algorithm: PAMI 19, 580-593, 1995, Hartley presented a full perspective approach that reconstructs from points and lines tracked over three images.

The approach described in M. Irani, "Multi-Frame Optical Flow Estimation using Subspace Constraints," ICCV 626-633, 1999 reconstructs directly from the image intensities. The essential step of Irani for recovering correspondence is a multi-frame generalization of the optical-flow approach described in B. Lucas and T. Kanade, "An Iterative Image Registration Technique with an Application to Stereo Vision", IJCAI 674-679, 1981, which relies on a smoothness constraint and not on the rigidity constraint. Irani uses the factorization of D simply to fill out the entries of D that could not be computed initially.

Irani writes the brightness constancy equation (7) in matrix form as $\Delta = -DI$, where D tabulates the shifts d^i and I contains the intensity gradients $\nabla I(p_n)$. Irani notes that D has rank 6 (for a camera with known calibration), which implies that Δ must have rank 6. To reduce the effects of noise, Irani projects the observed Δ onto one of rank 6. Irani then

applies a multi-image form of the Lucas-Kanade approach to recovering optical flow which yields a matrix equation $D I_2 = -\Delta_2$, where the entries of I_2 are squared intensity gradients $I_a I_b$ summed over the "smoothing" windows, and the entries of Δ_2 have the form $I_a \Delta I$. Due to the added Lucas-Kanade smoothing constraint, the shifts D or d_n^i can be computed as $D = -\Delta_2 [I_2]^+$ denotes the pseudo-inverse, except in smoothing windows where the image intensity is constant in at least one direction. Using the rank constraint on D , Irani determines additional entries of D for the windows where the intensity is constant in one direction.

SUMMARY OF THE INVENTION

The present invention is directed to a method for recovering 3D scene structure and camera motion from image data obtained from a multi-image sequence, wherein a reference image of the sequence is taken by a camera at a reference perspective and one or more successive images of the sequence are taken at one or more successive different perspectives by translating and/or rotating the camera. The method comprising the steps of:

(a) determining image data shifts for each successive image with respect to the reference image; the shifts being derived from the camera translation and/or rotation from the reference perspective to the successive different perspectives;

(b) constructing a shift data matrix that incorporates the image data shifts for each image;

(c) calculating two rank-3 factor matrices from the shift data matrix using SVD, one rank-3 factor matrix corresponding the 3D structure and the other rank-3 factor matrix corresponding the camera motion;

(d) recovering the 3D structure from the 3D structure matrix using SVD by solving a
5 linear equation; and

(e) recovering the camera motion from the camera motion matrix using the recovered 3D structure.

The method of the invention is a general motion algorithm wherein the camera positions for each successive perspective do not lie on a single plane. The method can reconstruct the
10 image from points, lines or intensities.

The present invention is an essentially linear algorithm that combines feature-based reconstruction and direct methods. It can use feature correspondences, if these are available, and/or the image intensities directly. Unlike optical-flow approaches such as that described
15 in "Determining Optical Flow", B.K.P Horn and B.G. Schunck, AI 17, 185-203, 1981, the algorithm exploits the rigidity constraint and needs no smoothing constraint in principle assuming the motion is small and the brightness constancy equation is valid. However, in practice, it is sometimes necessary to impose smoothness in the algorithm.

20 The method of the present invention can reconstruct from both point and line features. This is an important aspect of the present invention, since straight lines are abundant, especially in

human-made environments, and it is often possible to track both feature types. Lines can be localized very accurately due to the possibility of finding many sample points on a single line, and they do not suffer from some of the problems of point tracking such as spurious T-junctions and the aperture effect. The method described here is the first that can reconstruct
5 from all three types of input data in any combination or separately over a sequence of arbitrarily many images under full perspective.

BRIEF DESCRIPTION OF THE DRAWINGS

10 These and other features, aspects, and advantages of the methods of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

FIG. 1 schematically illustrates a hardware implementation of the present invention.

15 FIG. 2 is a block diagram that illustrates the method of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Definitions

20 For purposes of this disclosure, the invention will be described in accordance with the following terms defined herein. The method of the present invention assumes that the

calibration is known and takes the focal length to be 1 (wlog). Modifications to the method for uncalibrated sequences will be discussed later.

Assuming a sequence of N_i images. Choose the zeroth image as the reference image. Let R^i , T^i denote the rotation and translation between this image and image i . Parameterize small

rotations by the rotational velocity $\omega \equiv (\omega_x^i, \omega_y^i, \omega_z^i)^T$. Let $[T^i]_2 = (T_x^i T_y^i)^T$.

Let a 3D point P transform as $P^i = R(P - T)$. Assume N_p points and N_L lines tracked over the sequence. For clarity, we use a different notation for the tracked points than for the pixel positions in the intensity images. Let $q_m^i \equiv (q_x, q_y)_m^i$ denote the m -th tracked point and

$A_i^i \equiv (\alpha, \beta, \gamma)_i^T$ denote the i -th line in the i -th image. Let $\bar{q} \equiv (q; 1)^T$. Let R^*q denote the

image point obtained from q after a rotation: $R^*q \equiv \left[\begin{pmatrix} (R\bar{q})_x \\ (R\bar{q})_y \end{pmatrix} \right]^T / (R\bar{q})_z$. Define the

three point rotational flows $r^{(1)}(x, y), r^{(2)}(x, y), r^{(3)}(x, y)$, by

$$[r^{(1)}, r^{(2)}, r^{(3)}] \equiv \left[\begin{pmatrix} -xy \\ -(1+y^2) \end{pmatrix}, \begin{pmatrix} 1+x^2 \\ xy \end{pmatrix}, \begin{pmatrix} -y \\ x \end{pmatrix} \right]. \quad \hat{q}_m^i \text{ denotes the 3D unit vector}$$

$\bar{q} / |\bar{q}| = (q; 1)^T / |(q; 1)|$. Similarly, $\hat{A} = A / |A|$. Let $s_m^i \equiv q_m^i - q_m^0$ denote the image

displacement for the m -th tracked point and $Q_m = (Q_x, Q_y, Q_z)_m^T$ denote the 3D scene point

corresponding to the tracked point q_m . Then parameterize a 3D line L by two places that it lies in. The first plane, described by A , passes through the center of the projection and the

imaged line in the reference image. The normal to the second plane, B, is defined by

requiring $B \cdot A = 0$ and $B \cdot Q = -1$ for any point Q on L.

Let $p_n \equiv (x_n, y_n)^T$ be the image coordinates of the n-the pixel position. Order the pixels from 1 to N_x , the total number of pixels. Let I^i denote the i-the intensity image and let

$I_n^i = I^i(p_n)$ denote the image intensity at the n-the pixel position in I^i . Let P_n denote the

3D point imaged at p_n in the reference image, with $P_n \equiv (X_n, Y_n, Z_n)^T$ in the coordinate

5 system of I^0 . Let d_n^i denote the shift in image position from I^0 to I^i of the 3D point P_n .

Suppose V^a is a set of quantities indexed by the integer a . We use the notation $\{V\}$ to

denote the vector with elements given by the V^a .

10 Algorithm Description-Preliminary Processing

The method of the present invention requires that the translational motion not be too large,

(e.g., with $|T/Z_{\min}| \leq 1/3$ and that the camera positions do not lie in a plane.

Given tracked points and lines, we approximately recover the rotations between the reference image and each following image by minimizing:

$$15 \quad \sum_m (\hat{q}_n^i - R^i q_m^0)^2 + \mu \sum_l (\hat{A}_l^i - R^i \hat{A}_l^0)^2 \quad (1)$$

with respect to the rotations R^i , where one should adjust the constant according to the relative accuracy of the point and line measurements. Minimizing equation (1) gives

accurate rotation as long as the translations are not too large. After recovering the rotations, compensation is made for them and henceforth they can be treated as small.

Points

Point displacements are calculated in accordance with J. Oliensis, "A Multi-Frame Structure

5 from Motion Algorithm under Perspective Projection", IJCV 34:2/3 163-192, 1999,

incorporated herein by reference, the point displacements are

$$s_m^i = \frac{Q_{z,m}^{-1}(q_m T_z^i - [T^i]_2)}{1 - Q_{z,m}^{-1} T_z^i} + f(R^i, q_m^i) \text{ where the rotational flow}$$

$f(R^i, q_m^i) \equiv q_m^i - (R^i)^{-1} * q_m^i$. Under the assumptions, we can approximate

$$s_m^i \approx Q_{z,m}^{-1}(q_m T_z^i - [T^i]_2) + \omega_x^i r^{(1)}(q_m) + \omega_{yx}^i r^{(2)}(q_m) + \omega_z^i r^{(3)}(q_m) \text{ where the last three terms give}$$

10 the first-order rotational flow. Correspondingly, define the three length- $2N_p$ translational

flow vectors

$$\begin{aligned} \Phi_x &\equiv - \begin{bmatrix} \{Q_z^{-1}\} \\ \{0\} \end{bmatrix} \\ \Phi_y &\equiv - \begin{bmatrix} \{0\} \\ \{Q_z^{-1}\} \end{bmatrix} \\ \Phi_z &\equiv - \begin{bmatrix} \{q_x Q_z^{-1}\} \\ \{q_y Q_z^{-1}\} \end{bmatrix} \end{aligned}$$

$$\Phi_x \equiv - \begin{bmatrix} \{Q_z^{-1}\} \\ \{0\} \end{bmatrix}$$

$$\Phi_y \equiv - \begin{bmatrix} \{0\} \\ \{Q_z^{-1}\} \end{bmatrix}$$

$$\Phi_z \equiv - \begin{bmatrix} \{q_x Q_z^{-1}\} \\ \{q_y Q_z^{-1}\} \end{bmatrix}$$

and the length $-2N_p$ rotational flows

$$\Psi_x \equiv \begin{bmatrix} \{r_x^{(1)}(q)\} \\ \{r_y^{(1)}(q)\} \end{bmatrix}$$

$$\Psi_y \equiv \begin{bmatrix} \{r_x^{(2)}(q)\} \\ \{r_y^{(2)}(q)\} \end{bmatrix}$$

$$\Psi_z \equiv \begin{bmatrix} \{r_x^{(3)}(q)\} \\ \{r_y^{(3)}(q)\} \end{bmatrix}$$

- 5 Then collect the shifts, s_m^i into a $N_i \times 2N_p$ matrix S , where each row corresponds to a different image i and equals $\begin{bmatrix} \{s_x^i\}^T & \{s_y^i\}^T \end{bmatrix}$. Then

$$S \approx \{T_x\}\Phi_x^T + \{T_y\}\Phi_y^T + \{T_z\}\Phi_z^T + \{\omega_x\}\Psi_x^T + \{\omega_y\}\Psi_y^T + \{\omega_z\}\Psi_z^T. \quad (2)$$

10

Lines

The line measurement model is described as follows. One can reasonably assume that the noise of a measured line is proportional to the integrated image distance between the

- 15 measured and true positions of the line. Let Θ_{FOV} denote the field of view (FOV) in radians.

Typically, $\Theta_{\text{FOV}} < 1$. If the measured line differs from the true one by a z-rotation, this gives a noise of $O((\Theta_{\text{FOV}}/2)^2)$. A rotation around an axis in the x-y plane gives a noise of

$O(\Theta_{\text{FOV}})$, which is typically larger. (These estimates reflect the fact that the displacement region bounded by the true and measured lines look like two triangles in the first case and a quadrilateral in the second. For the representation described herein, this implies that line measurements determine A_z more accurately than A_x, A_y by a factor roughly of $1/\Theta_{\text{FOV}}$.

5

The Image flow for lines can be described as follows. Let $A \equiv (A; 0)$

and $B \equiv (B; -1)$ be the homogeneous length-4 vectors corresponding to the plane normals A

and B for the line L. Let $Q \equiv (Q; 1)$ be the homogeneous vector corresponding to a point on

L. Then $A \cdot B = A \cdot Q = B \cdot Q = 0$. After rotation R and translation T , we determine the

10

transformed $A'B'$ from the requirement that $A' \cdot Q' = B' \cdot Q' = 0$. We can satisfy this

requirement using $A^* = \begin{bmatrix} RA \\ T \cdot A \end{bmatrix}, B^* = \begin{bmatrix} RB \\ T \cdot B + B_4 \end{bmatrix}$. But A^* doesn't necessarily have $A'_4 = 0$,

as the A' for the new image of the line must. So we set $A' \equiv A^* - A_4^* B^* / B_4^*$, which implies

$$\text{that the new image line is given by } A' \equiv R \left(A - B \frac{T \cdot A}{T \cdot B + B_4} \right). \quad (3)$$

Now consider the line flow $\delta A_i^j = A_i^j - A_i^0$. Define $\delta A_R = A^i - R^{-1} A^i$ and

$$15 \quad \delta A_T \equiv R^{-1} A^i - A = \frac{(T \cdot A)}{1 - T \cdot B} B \text{ so } \delta A = \delta A_T + \delta A_R. \text{ For small rotations and translations, with}$$

$$|T \cdot B| \ll 1,$$

$$\delta A^i \approx (T \cdot A) B + \omega \times A. \quad (4)$$

$B \cdot A = 0$ implies that $\delta A \cdot A \approx 0$. To eliminate the scale ambiguity in defining each A , we take $|A_i^0| = 1$ in the reference image and require that the line flow satisfies

$0 = \delta A_i^i \cdot A_i^o \equiv (A_i^i - A_i^o) \cdot A_i^o$ exactly. We normalize all A^0 to the same magnitude in the reference image to reflect the fact that the measurement errors should be similar for all lines.

- 5 The requirement that $0 = \delta A_i^i \cdot A_i^o$ "fixes the gauge" in a way that is consistent with our line representation and small-motion assumption.

After "gauge fixing" each δA_i^i incorporates just two degrees of freedom. We represent δA_i^i by its projection along two directions, $A_i \times (\hat{z} \times A_i)$ and $\hat{z} \times A_i$, which we refer to respectively as the upper and lower directions. Let the unit 3-vector P_U^i and P_L^i project onto these two directions. For typical $\Theta_{FOV} < 1$, $|A_i \cdot \hat{z}| \ll 1$ and the upper component of A_i roughly equals $A_{i,z}$. Thus, image measurements determine the upper component more accurately than the lower, by roughly $1/\Theta_{FOV}$. In analogy to the point definitions, define the line translation flows

$$\begin{aligned}\Phi_{Lx} &\equiv \begin{bmatrix} \{A_x B_U\} \\ \{A_x B_L\} \end{bmatrix} \\ \Phi_{Ly} &\equiv \begin{bmatrix} \{A_y B_U\} \\ \{A_y B_L\} \end{bmatrix} \\ \Phi_{Lz} &\equiv \begin{bmatrix} \{A_z B_U\} \\ \{A_z B_L\} \end{bmatrix}\end{aligned}$$

15

where these are length- $2N_L$ vectors. $B_U \equiv B \cdot P_U$ and $B_L \equiv B \cdot P_L$ are the upper and lower components of B . Similarly, define the rotational flows

$$\begin{aligned}
\Psi_{Lx} &\equiv \begin{bmatrix} \{P_U \cdot (x \times A)\} \\ \{P_L \cdot (x \times A)\} \end{bmatrix} \\
\Psi_{Ly} &\equiv \begin{bmatrix} \{P_U \cdot (y \times A)\} \\ \{P_L \cdot (y \times A)\} \end{bmatrix} \\
\Psi_{Lz} &\equiv \begin{bmatrix} \{P_U \cdot (z \times A)\} \\ \{P_L \cdot (z \times A)\} \end{bmatrix}
\end{aligned} \tag{5}$$

Let Λ be the $N_l \times 2N_L$ matrix where each row corresponds to a different image i and equals

$$\begin{aligned}
&\left[\{P_U \cdot \delta A^i\}^T \{P_U \cdot \delta A^i\}^T \right] . \text{ Then} \\
5 \quad \Lambda &\approx \{T_x\} \Phi_{Lx}^T + \{T_y\} \Phi_{Ly}^T + \{T_z\} \Phi_{Lz}^T + \{\omega_{yz}\} \Psi_{Lx}^T + \{\omega_{yz}\} \Psi_{Ly}^T + \{\omega_{zz}\} \Psi_{Lz}^T
\end{aligned} \tag{6}$$

Intensities

One can apply the same arguments to the d_n^i , the image shifts corresponding to the 3D point

10 imaged at the pixel position p_n , as to the s_m^i for the tracked feature points. Thus

$$d_n^i \approx Z_n^{-1} (p_n T_z^i - [T^i]_p) + \omega_x^i r_n^{(1)}(p_n) + \omega_y^i r_n^{(2)}(p_n) + \omega_z^i r_n^{(3)}(p_n). \text{ Let } \nabla I_n \equiv \nabla I(p_n) \equiv (I_x, I_y)^T_n$$

represent the gradient of the image intensity for I^0 , with some appropriate definition for a

discrete grid of pixels. Let ΔI_n^i define the change in intensity with respect to the reference

image. Its simplest definition is $\Delta I_n^i = I_n^i - I_n^0$. The brightness constancy equation is

$$15 \quad \Delta I_n^i + \nabla I_n \cdot d_n^i = 0. \tag{7}$$

This and the previous equation imply that

$$-\Delta I_n^i = \nabla I_n \cdot d_n^i \approx Z_n^{-1} (\nabla I_n \cdot p_n T_z^i - \nabla I_n \cdot [T^i]_p) + \nabla I_n \cdot (\omega_x^i r_n^{(1)} + \omega_y^i r_n^{(2)} + \omega_z^i r_n^{(3)}). \text{ Define the three}$$

length $-N_x$ translational flow vectors $\Phi_{I_x} \equiv -\{Z^{-1}I_x\}$, $\Phi_{I_y} \equiv -\{Z^{-1}I_y\}$, $\Phi_{I_z} \equiv \{Z^{-1}(\nabla I \cdot \mathbf{p})\}$, and the length $-N_x$ rotational flows, $\Psi_{I_x} \equiv -\{\nabla I \cdot \mathbf{r}^{(1)}(\mathbf{p})\}$, $\Psi_{I_y} \equiv -\{\nabla I \cdot \mathbf{r}^{(2)}(\mathbf{p})\}$, $\Psi_{I_z} \equiv -\{\nabla I \cdot \mathbf{r}^{(3)}(\mathbf{p})\}$.

Let Δ be a $N_I \times N_x$ matrix, where each row corresponds to an image i and equals $\{\Delta I^i\}^T$.

$$\text{Then } \Delta \approx \{T_x\}\Phi_{I_x}^T + \{T_y\}\Phi_{I_y}^T + \{T_z\}\Phi_{I_z}^T + \{\omega_x\}\Psi_{I_x}^T + \{\omega_y\}\Psi_{I_y}^T + \{\omega_z\}\Psi_{I_z}^T. \quad (8)$$

5

Reconstruction

The reconstruction of the 3D scene is described as follows. Define the total rotational flow vectors for points, lines and image intensities by $\bar{\Psi}_x^T \equiv [\Psi_x^T \omega_L \Psi_{L_x}^T \omega_I \Psi_{I_x}^T]$, and similarly for the y and z components. Here ω_L and ω_I are constant weights that the user should set according

10 to the relative noisiness of the point, line, and intensity data. The $\bar{\Psi}_a$ have length

$2N_p + 2N_L + N_x \equiv N_{tot}$. One can verify from the definitions that the $\bar{\Psi}$ are computable from measured quantities and can be taken as known. Using Householder matrices, one can compute a $(N_{tot} - 3) \times N_{tot}$ matrix \mathbf{H} annihilating the three $\bar{\Psi}_{x,y,z}$. Computing and multiplying by \mathbf{H} cost $O(N_{tot})$ computation. Define the $N_I \times N_{tot}$ matrix

15 $\bar{\mathbf{D}} \equiv [S \ \omega_L A \ \omega_L \Delta]$. Let C be a constant $(N_I - 1) \times (N_I - 1)$ matrix with $C_{ii'} \equiv \delta_{ii'} + 1$, we include C to counter the bias due to singling out the reference image for special treatment.

Define $\bar{\mathbf{D}} \equiv C^{-1/2} \bar{\mathbf{D}} \mathbf{H}^T$. Define the total translational flow vectors by $\bar{\Phi}_x \equiv \begin{bmatrix} \Phi_x \\ \omega_L \Phi_{L_x} \\ \omega_I \Phi_{I_x} \end{bmatrix}$, and

similarly for y and z . From above, equations (2), (6) and (8) imply that

$$\bar{D}_{CH} \approx C^{-1/2} \{T_x\} \bar{\Phi}^T H^T + C^{-1/2} \{T_y\} \bar{\Phi}^T H^T + C^{-1/2} \{T_z\} \bar{\Phi}^T H^T. \quad (9)$$

\bar{D}_{CH} is approximately rank 3.

Our basic algorithm of the method of the present invention is,

5 1) Define H and compute \bar{D}_{CH} . Using the singular value decomposition (SVD),

compute the best rank-3 factorization of $\bar{D}_{CH} \approx M^{(3)} S^{(3)T}$ where $M^{(3)}, S^{(3)}$ are rank 3 matrices corresponding respectively to the motion and structure.

$$2) S^{(3)} \text{ satisfies, } [\bar{\Phi}_x \ \bar{\Phi}_y \ \bar{\Phi}_z] = H^T S^{(3)} U + [\bar{\Psi}_x \ \bar{\Psi}_y \ \bar{\Psi}_z] \Omega \quad (10)$$

where U and Ω are unknown 3x3 matrices. We eliminate the unknowns Q_z, B_z and Z^{-1}

10 from the $\bar{\Phi}_a$ in this system of equations to get $3N_{tot}$ linear constraints on the 18 unknowns in U and Ω . We solve these constraints with $O(N_{tot})$ computations using the SVD.

3) Given U and Ω , we recover the structure unknowns Q_z, B_z and Z^{-1} from

$[\bar{\Phi}_x \ \bar{\Phi}_y \ \bar{\Phi}_z] = H^T S^{(3)} U + [\bar{\Psi}_x \ \bar{\Psi}_y \ \bar{\Psi}_z] \Omega$ where Q is the 3d coordinate for an image pixel in the reference image, B is the shortest vector from the camera center to a 3D line and Z is the

15 depth from the camera to a 3D scene along the camera's optical axis.

4) Given U , we use $S^{(3)} U \approx [\bar{\Phi}_x \ \bar{\Phi}_y \ \bar{\Phi}_z]$ and

$\bar{D}_{CH} \approx C^{-1/2} \{T_x\} \bar{\Phi}^T H^T + C^{-1/2} \{T_y\} \bar{\Phi}^T H^T + C^{-1/2} \{T_z\} \bar{\Phi}^T H^T$ to recover the translations.

5) We recover the rotations $\omega_x^i, \omega_y^i, \omega_z^i$ from

$$\omega_x^i \bar{\Psi}_{xn} + \omega_{yx}^i \bar{\Psi}_{yn} + \omega_{zx}^i \bar{\Psi}_{zn} = C^{-1/2} \bar{D}_n^i - \left(C^{-1/2} \left(\{T_x\} \bar{\Phi}^T + \{T_y\} \bar{\Phi}_y^T + \{T_z\} \bar{\Phi}_z^T \right) \right)_n^i$$

The description above omits some steps, which are important for bias correction. 1) The

upper line components in \bar{D} are weighted by a factor proportional to $1/\Theta_{\text{FOV}}$, to account for

the greater accuracy in the measurement of these components. 2) To correct for bias due to

5 the fact that the FOV is typically small, in Steps 2-4 we weight T_z^i by a factor proportional to the FOV and weight $\bar{\Phi}_z$ by the inverse of this, while leaving the x and y

Components untouched. 3) The steps of the algorithm can be iterated to give better results

and correct for the small motion assumptions. This involves multiplying the original feature

point shifts by $1 - Z^{-1}T_z$ and the line shifts by $1 - B \cdot T$. The algorithm is guaranteed to

10 converge to the correct reconstruction if the motion and noise are small and the camera positions do not lie on a plane.

Of course, the results for the intensity-based part of our algorithm depend crucially on the technique used for computing derivatives. To make this more robust, one should iteratively

15 reduce the size of the displacements d_n^i by warping and recomputing the reconstruction in a coarse-to-fine mode. One implementation simply computes the image derivatives at a single scale, using consistency between the spatial derivatives computed for different images to determine whether the assumption of small image motion holds for this current scale. Only those pixel sites where the assumption does hold are used for the motion computation.

We have sometimes found it useful to preprocess the image intensities prior to running the algorithm. We first compute a Laplacian image and then transform the intensities by a stigma function to enhance edgy regions and suppress textureless ones. This gives the intensity image a form that is intermediate between the unprocessed images and a selected set of tracked features.

Bas-relief for Lines

Due to the bas-relief ambiguity, it is difficult to recover the constant component of the vector $\{Z^{-1}\}$ for point features, though typically one can recover all other components accurately. A

similar result can be derived for lines. The rotational flow for a line is $\omega \times A$. For typical

$\Theta_{\text{FOV}} \ll 1, |A_z| \ll |A_{x,y}|$. Thus \hat{x} and \hat{y} rotations give flows roughly proportional to

$\hat{y} \times A \sim -A_x \hat{z}$ and $(\hat{x} \times A) \sim -A_y \hat{z}$. From equation (4) above, the effects of a z-translation T_z

are suppressed by $|A_z|$, and the translational flows due to the constant component of $\{B_z\}$

constant component from rotational effects, which makes this component difficult to recover accurately.

One can get a more quantitative analysis of this effect as follows. Assuming that the input data consists of lines only, $[\overline{\Phi}_x \ \overline{\Phi}_x \ \overline{\Phi}_x] = H^T S^{(3)} U + [\overline{\Psi}_x \ \overline{\Psi}_y \ \overline{\Psi}_z] \Omega$ implies that

$\overline{P}_s H [\overline{\Phi}_{Lx}, \overline{\Phi}_{Ly}, \overline{\Phi}_{Lz}] = 0$ where \overline{P}_s is a projection matrix that annihilates $S^{(3)}$. Those $\{B\}$

components that produce small overlaps $\sum_a \overline{\Phi}_{La}^T H^T P_s H \overline{\Phi}_{La}$, will also produce small

overlaps $\sum_a \bar{\Phi}_{La}^T H^T P_s H \bar{\Phi}_{La}$. It follows from standard perturbation theory that noise will mix these components into the true solution for the B , where the amount of contamination is inversely proportional to the size of the eigenvalue. Define $B \equiv [\{B_x\}, \{B_y\}, \{B_z\}]$. We computed for several sequences the eigenvalues of H_B , defined such that

5 $B^T H_B B = \sum_a \bar{\Phi}_{La}^T H^T H \bar{\Phi}_{La}$, and found that, as expected, there was one small eigenvalue, whose eigenvector approximately equaled the constant component of $\{B_z\}$. Thus one can use the input data to estimate the inaccuracy in recovering this component.

General-Motion Algorithm for Intensities

The General Motion Algorithm for just Intensities is as follows.

10 0. First, assuming that the translations are zero, we recover the rotations and warp all images $I^1 \dots I^{N-1}$ toward the reference image I^0 . In this case we then let the image displacements d_n^i refer to the unrotated images.

1. We then compute H and $\Delta_{CH} \equiv C^{-1/2} \Delta H^T$, and using the singular value decomposition (SVD), compute the best rank-3 factorization of $-\Delta_{CH} \bar{D}_{CH} \approx M^{(3)} S^{(3)T}$,

15 where $M^{(3)}$ and $S^{(3)}$ are rank 3 and correspond respectively to the motion and structure.

2a. Further $S^{(3)}$ satisfies, $\Phi = H^T S^{(3)} U + \Psi \Omega$

where U and Ω are unknown 3x3 matrices. We eliminate the unknowns Z^{-1} from the $\bar{\Phi}_a$

in this system of equations to get $3N_p$ linear constraints on the 18 unknowns in U and Ω .

We solve these constraints with $O(N_p)$ computations using the SVD. Then given U and

Ω , we recover the structure unknowns Z^{-1} from (5).

2b. Then given U , we use $S^{(3)}U \approx \Phi$ and equation (4) to recover the translations.

5

3. The rotations W can then be recovered from $C^{-1/2}W\Psi^T = -C^{-1/2}(\bar{T}\Phi^T + \Delta)$.

Algorithm Analysis

Step 0.

10

Our neglect of the translations in the basic general-motion algorithm above produces small errors in recovering the rotations when the translations are moderate, with

$|T_{true}^i|/Z_{\min} \leq 1/4$ as described in "Rigorous Bounds for Two-Frame Structure from Motion,"

J. Oliensis IUW, 1225-1231, 1994. We describe two multi-frame techniques for recovering

the rotations. Neglecting the translations in (4) gives $-C^{-1/2}\Delta \approx C^{-1/2}W\Psi^T$, which can be

15

solved for W . This technique resembles the projective algorithm of L. Zelnik-Manor and M.

Irani, described in "Multi-Frame Alignment of Planes", CVPR I:151-156, 1999 for

reconstructing planar scenes, except that our use of $C^{-1/2}$ reduces the bias due to

overemphasizing the noise in the reference image. As usual, one can extend the technique to

larger rotations by iteratively warping and re-solving for W .

The technique just described is best for small rotations, since it uses the first-order rotational displacements. Another approach begins with the extension to planar scenes as described in "Structure from Motion from Points, Lines and Intensities, J. Oliensis and M. Werman CVPR 2000 of the multi-frame Sturm-Triggs technique set forth in "A factorization based algorithm for multi-image projective structure and motion", P. Sturm and B. Triggs, ECCV 709-720, 1996. This multi-frame approach recovers homographies of arbitrary size between the reference image and the subsequent images. One can recover the rotations by choosing the orthogonal, positive-determinant matrices closest to the recovered homographies.

Step 2a.

From (4), the columns of $S^{(3)}$ generate approximately the same subspace as that generated by the Φ_x, Φ_y, Φ_z :

$$S^{(3)}U \approx H\Phi$$

where U is a 3×3 matrix. (7) Above gives an overconstrained system of linear equations

that one can solve directly for U and Z_n^{-1} . However this is an $O(N_p^3)$ computation. For large images, we use instead the following $O(N_p)$ technique.

First we define $\bar{P} \equiv H^T H$, a projection matrix. Multiplying (7) by H^T gives

$$H^T S^{(3)}U \approx \bar{P}\Phi, \text{ which implies}$$

$$\Phi \approx H^T S^{(3)} + \Psi\Omega$$

We eliminate the Z_n^{-1} from (8) above and solve directly for U and Ω . Denote the

columns of U by $U \equiv [U_1 \ U_2 \ U_3]$, and similarly for Ω . Let $U'_3 \equiv s^{-1}U_3$ and $\Omega'_3 \equiv s^{-1}\Omega_3$,

where the scale s equals the average distance of the image points from the image center. We

include s to reduce the bias of the algorithm. From the definitions of Φ_x, Φ_y, Φ_z , (8) above

implies $I_{yn} [H^T S^{(3)} U_1 + \Psi \Omega_1]_n \approx I_{xn} [H^T S^{(3)} U_2 + \Psi \Omega_2]_n \approx I_{yn} [H^T S^{(3)} U'_3 + \Psi \Omega'_3]_n$

5 $-s^{-1}(\nabla I_n \cdot p_n) [H^T S^{(3)} U_2 + \Psi \Omega_2]_n \approx I_{yn} [H^T S^{(3)} U'_3 + \Psi \Omega'_3]_n$ and a similar equation

with $(x \leftrightarrow y)$. Step 2A of our algorithm solves this system of linear equations for Ω and U

in the least-squares sense and then recovers the Z_n^{-1} from these solutions and (8) above. The

computation is $O(N_p)$. Note also that step 2a bases its computations on ∇I . If we use the

value of ∇I computed from the measured reference image I^0 , then the estimates of U, Ω, Z_n^{-1}

10 will not be true multi-frame estimates. To get a better multi-frame estimate, one can first re-

compute I^0 and ∇I based on all the image data and use the result to compute U, Ω via (9)

as follows.

Let $\Delta_{CH}^{(3)}$ be the best rank 3 approximation to $\Delta_{CH} \equiv C^{-1/2} \Delta H^T$. Up to unknown
rotational flows, $\Delta_{CH}^{(3)} H$ gives the intensity shifts due to the translational displacements:

15
$$C^{-1/2} \Delta \approx \Delta_{CH}^{(3)} H + W \Psi^T$$

We then solve (10) in the least-squares sense for W , which gives improved estimates

Δ_e for Δ and I_e^0 for I^0 . These can then be used in (9). However, this computation of I_e^0

gives $O(N_I^{-1} \omega^2, N_I^{-2} \tau^2 Z^{-2})$ errors. If the original noise in I^0 is less than this, one should use

I^0 directly in step 2a.

Step 2b.

We have $C^{-1/2}\bar{T} \approx M^{(3)}U_T$, where U_T is also a 3×3 matrix. The algorithm recovers U_T and \bar{T} by solving the linear system $-\Delta_{CH} \approx M^{(3)}U_T\Phi^TH^T$,

5 for U_T , using the Φ computed previously, and then plugging in the result to recover \bar{T} .

General Notes

Experimentally, we find that the intensity pattern varies significantly from image to image in our sequences. We actually apply our approach to a modified version of the original
10 sequence, obtained by:

- 1) Filtering the sequence with a laplacian of gaussian to emphasize edges;
- 2) Applying a nonlinear transformation (a sigma function) to further emphasize the high-frequency features. The new sequences give a continuous representation of the interest features, which can be used to compute derivatives. This procedure
15 emphasizes the discrete, more easily trackable features, but does not eliminate the information from other image regions. One could extend this approach to deal with a variety of interest features, selecting among them to determine the derivatives that are likely to give the best flow. To ensure that the BCE is approximately valid, we also require that the spatial derivatives be consistent over the whole sequence, and that the
20 image intensity be well approximated by a plane at the scale at which we are

working. In our current implementation, we apply the algorithm at a single scale.

In another embodiment of the present invention, the algorithm described above can also handle: cameras with changing and unknown focal lengths, where the calibration is otherwise fixed and known and camera calibrations that change arbitrarily from image to image in an unknown fashion (this is known as the projective case).

For varying, unknown focal lengths, one can modify the algorithm along the line of the method described in "A Multi-frame Structure from Motion Algorithm under Perspective Projection" IJCV 34:2/3, 163-192, 199 and Workshop on Visual Scenes 77-84, 1999. The modification is described as follows, in Step 2a, we define H to annihilate $\Psi_F \equiv \{p_n \cdot \nabla I(p_n)\}$ in addition to Ψ_x, Ψ_y, Ψ_z . In recovering the Z_n^{-1} one must replace Ψ in (8) by $[\Psi, \Psi_F]$, and Ω becomes a 4×3 matrix. The modified Step 2a can recover the Z^{-1} except for the constant ($Z_n = 1$) component, which is intrinsically difficult to recover accurately when the focal lengths are unknown and varying.

For the projective case, the algorithm can be modified along the lines of the method described in "Fast Algorithms for Projective Multi-Frame Structure from Motion", J. Oliensis and Y. Genc, ICCV 536-543, 1999. In this case, we define H to annihilate eight length- N_p vectors, where the n -th entries of these vectors are given by the eight quantities $(\nabla I_n)_a, p_{nb}(\nabla I_n)_c, p_{nd}(\nabla I_n) \cdot p_n$, for $a, b, c, d \in \{x, y\}$. Step 2a must be modified by replacing Ψ in (8) by a matrix consisting of these eight vectors.

Projective Algorithm

The algorithm described here for calibrated sequences generalizes in a straightforward way to deal with uncalibrated sequences. In this projective case, instead of a preliminary stage of rotation computation, one computes planar homographies between the reference image and
 5 each subsequent image. It is worth noting that one can easily and accurately compute these homographies by a multi-frame generalization of the projective-reconstruction method.

We briefly describe how this works for the example of tracked points. Assume the scene is planar. Then $\lambda_m^i \bar{q}_m^i = M^i S_m$ (11)

10 where M^i is a 3×3 matrix (a homography), S_m is the structure 3-vector giving the position of the m -th point on the plane (in some arbitrary coordinate system), and λ_m^i is the projective depth. The steps of the homography recovery are:

- 1) Take $\lambda_m^i = 1$ initially.
- 2) For the current estimate of the λ_m^i , collect the $\lambda_m^i \bar{q}_m^i$ into a single $3N_l \times N_p$
 15 matrix Γ . Use the SVD to decompose this into the product of two rank 3 factors:

$$\Gamma \approx M^{(3)} S^{(3)}.$$
- 3) For the current $M^{(3)}, S^{(3)}$, compute the λ_m^i minimizing the $|\Gamma - M^{(3)} S^{(3)}|$. Return to Step 2.

After convergence, $M^{(3)}$ contains the M^i estimates. Our estimate of the homography taking

the reference image to image i is $M^0 \setminus M^i$. This procedure is guaranteed to converge to the

local minimum of $\frac{|\Gamma^{(4)}|^2}{|\Gamma|^2}$, where $\Gamma^{(4)}$ is the difference between Γ and its best rank-3

approximation. Also, it gives nearly the optimal estimates of the M^i if the true motion is not too large and the scene is truly planar.

5

The uncalibrated version of the line-based algorithm is described as follows. Let K^i be the standard 3×3 matrix, with zero lower-diagonal entries, giving the calibration parameters for the i -th image. For the uncalibrated case, equation (3) still holds if one replaces

$R \rightarrow (K')^{-T} R K \equiv M_H$ and $T \rightarrow K T$, where K and K' are the calibration matrices for the

10 first and second image. M_H is a general homography and is the inverse transpose of the analogous homography for points. The first order approximation of the new version of

$A' = R \left(A - B \frac{T \cdot A}{T \cdot B + B_4} \right)$ is $\delta A^i \approx (T \cdot A) B + \omega \times A$, but with $T \rightarrow K T$ and with

$\omega \times A$ replaced by $\delta M_H A$, where $M_H \equiv 1 + \delta M_H$.

We define \mathbf{H} to annihilate the first-order homography flow due to δM_H , instead of the

15 rotational flows as for the calibrated case. Since the first-order approximation of M_H^{-T} is

$1 - \delta M_H^T$, one can easily define \mathbf{H} to annihilate the first-order flows for both points and lines.

Represent $M_H \equiv \begin{bmatrix} F & G \\ J^T & 0 \end{bmatrix}$, where F is 2×2 and G and J are 2×1 . The first-order point and

line displacements due to each of the 8 parameters in δM_H are given in the following table:

	$F_{1,1}$	$F_{1,2}$	$F_{2,2}$	$F_{2,2}$	G_1	G_2	J_1	J_2
$A_x:$	A_x	A_y	0	0	A_z	0	0	0
$A_y:$	0	0	A_x	A_y	0	A_z	0	0
$A_z:$	0	0	0	0	0	0	A_x	A_y
$q_x:$	$-x$	0	$-y$	0	$-x^2$	$-xy$	-1	0
$q_y:$	0	$-x$	0	$-y$	$-xy$	$-y^2$	0	-1

We define H to annihilate the 8 vectors associated with the columns of this table. After the

5 indicated replacements, the uncalibrated algorithm is the same as the calibrated one.

If one fixes the point coordinates in some reference image, the remaining freedom under a

projective transform is $Z^{-1} \rightarrow ax + by + c + dZ^{-1}$ where x and y are the image coordinates.

This corresponds to scaling and adding an arbitrary plane to the structure.

One can derive a similar relation for B . Let P be a 3D point on the line determined by B .

10 Then $B \cdot P = -1$ implies $B \cdot (x, y, 1) = -Z^{-1}$. A projective transform must preserve $B' \cdot P' = -1$.

From this, and the transform of Z^{-1} given above, it follows that $B' - B = (a, b, c)$ up to a

scaling, with the same constants a, b, c as for the point transform. This relation holds with

the same constants for any line B .

15 The fact that B is recoverable only up to an overall shift is also clear from the uncalibrated

version of the algorithm. When we use H to eliminate the first-order effects of small

homographies, we also eliminate the translational image flows due to the three constant

components of the B. Thus, these components cannot be recovered.

Implementation

5 It will be apparent to those skilled in the art that the methods of the present invention disclosed herein may be embodied and performed completely by software contained in an appropriate storage medium for controlling a computer.

Referring to Fig. 1, which illustrates in block-diagram form a computer hardware system
10 incorporating the invention. As indicated therein, the system includes a video source 101 , whose output is digitized into a pixel map by a digitizer 102. The digitized video frames are then sent in electronic form via a system bus 103 to a storage device 104 for access by the main system memory during usage. During usage the operation of the system is controlled by a central-processing unit, (CPU) 105 which controls the access to the digitized pixel map and the
15 invention. The computer hardware system will include those standard components well-known to those skilled in the art for accessing and displaying data and graphics, such as a monitor, 106 and graphics board 107.

The user interacts with the system by way of a keyboard 108 and or a mouse 109 or other
20 position-sensing device such as a track ball, which can be used to select items on the screen or direct functions of the system.

The execution of the key tasks associated with the present invention is directed by instructions stored in the main memory of the system, which is controlled by the CPU. The CPU can access the main memory and perform the steps necessary to carry out the method of the present invention in accordance with instructions stored that govern CPU operation. Specifically, the CPU, in accordance with the input of a user will access the stored digitized video and in accordance with the instructions embodied in the present invention will analyze the selected video images in order to extract the 3D structure and camera motion information from the associated digitized pixel maps.

Referring now to Fig. 2 the method of the present invention will be described in relation to the block diagram. A reference image of the sequence is taken by a camera at a reference perspective and one or more successive images of the sequence are taken at one or more successive different perspectives by translating and/or rotating the camera in step 201. The images are then digitized 202 for analysis of the 3D image content, i.e. points, lines and image intensities. Then image data shifts for each successive image with respect to the reference image are determined 203; the shifts being derived from the camera translation and/or rotation from the reference perspective to the successive different perspectives.

A shift data matrix that incorporates the image data shifts for each image is then constructed 204 and two rank-3 factor matrices from the shift data matrix using SVD, one rank-3 factor matrix corresponding the 3D structure and the other rank-3 factor matrix corresponding the

camera motion are calculated 205. Recovering the 3D structure from the 3D structure matrix using SVD by solving a linear equation 206. The camera motion can then be recovered using the recovered 3D structure 207.

While there has been shown and described what is considered to be preferred
5 embodiments of the invention, it will, of course, be understood that various modifications and changes in the form or detail could readily be made without departing from the spirit of the invention. It is therefore intended that the invention be not limited to the exact forms described and illustrated, but should be constructed to cover all modifications that may fall within the scope of the appended claims.

10

WHAT IS CLAIMED IS:

1. A method for recovering 3D scene structure and camera motion from image data obtained from a multi-image sequence, wherein a reference image of the sequence is taken by
5 a camera at a reference perspective and one or more successive images of the sequence are taken at one or more successive different perspectives by translating and/or rotating the camera, the method comprising the steps of:

(a) determining image data shifts for each successive image with respect to the reference image; the shifts being derived from the camera translation and/or rotation from the
10 reference perspective to the successive different perspectives;

(b) constructing a shift data matrix that incorporates the image data shifts for each image;

(c) calculating two rank-3 factor matrices from the shift data matrix using SVD, one rank-3 factor matrix corresponding to the 3D structure and the other rank-3 factor matrix
15 corresponding to the camera motion;

(d) recovering the 3D structure from the 3D structure matrix by solving a linear equation; and

(e) recovering the camera motion from the camera motion matrix using the recovered 3D structure.

20 2. The method of claim 1, wherein the image data is one or more selected from the

group consisting of points, lines and intensities.

3. The method of claim 1, wherein the step of determining image data shifts includes initially recovering and compensating for camera rotation.

4. The method of claim 1, wherein step (b) comprises:

computing H and \bar{D}_{CH} , where H is a $(N_{tot} - 3) \times N_{tot}$ matrix and $\bar{D}_{CH} \equiv C^{-1/2} \bar{D} H^T$ and $N_{tot} \equiv 2N_p + 2N_L + N_X$, where N_p , N_L , and N_X equal the number of points, lines and intensities, respectively, and C is a $(N_i - 1) \times (N_i - 1)$ matrix with $C_{ii'} \equiv \delta_{ii'} + 1$ where $C_{ii'}$ is a constant table of values for all images and $\delta_{ii'}$ is an operator equal to 1 if $i = i'$ and 0 if $i \neq i'$,

and $\bar{D} \equiv [S \omega_L \Lambda \omega_I \Delta]$, H^T is the transpose of the H matrix, where H is a matrix defined such that H^T is an identity matrix and annihilates $\bar{\Psi}_x, \bar{\Psi}_y, \bar{\Psi}_z$ where $\bar{\Psi}_x^T \equiv [\Psi_x^T \omega_L \Psi_{Lx}^T \omega_I \Psi_{Ix}^T]$ and similarly for the y and z components where ω_L and ω_I are constant weights that the user sets, and where $\Psi_{Lx} \equiv \begin{bmatrix} \{P_U \cdot (\hat{x} \times A)\} \\ \{P_L \cdot (\hat{x} \times A)\} \end{bmatrix}$, $\Psi_{Ly} \equiv \begin{bmatrix} \{P_U \cdot (\hat{y} \times A)\} \\ \{P_L \cdot (\hat{y} \times A)\} \end{bmatrix}$, $\Psi_{Lz} \equiv \begin{bmatrix} \{P_U \cdot (\hat{z} \times A)\} \\ \{P_L \cdot (\hat{z} \times A)\} \end{bmatrix}$ where A_i is the unit normal to the plane containing the line and the camera center at the reference image and where $\hat{x}, \hat{y}, \hat{z}$ are unit vectors in the x, y and z directions, and where

$\Psi_x \equiv \begin{bmatrix} \{r_x^{(1)}(q)\} \\ \{r_y^{(1)}(q)\} \end{bmatrix}$, $\Psi_y \equiv \begin{bmatrix} \{r_x^{(2)}(q)\} \\ \{r_y^{(2)}(q)\} \end{bmatrix}$, $\Psi_z \equiv \begin{bmatrix} \{r_x^{(3)}(q)\} \\ \{r_y^{(3)}(q)\} \end{bmatrix}$ where $q = (x, y)$ is the image position of the tracked point in the reference image and

the three point rotational flows $r^{(1)}(x, y), r^{(2)}(x, y), r^{(3)}(x, y)$ are defined by

$$[r^{(1)}, r^{(2)}, r^{(3)}] \equiv \left[\begin{pmatrix} -xy \\ -(1+y^2) \end{pmatrix}, \begin{pmatrix} 1+x^2 \\ xy \end{pmatrix}, \begin{pmatrix} -y \\ x \end{pmatrix} \right] \text{ and where}$$

$\Psi_{Lx} \equiv -\{\nabla I \cdot r^{(1)}(p)\}$, $\Psi_{Ly} \equiv -\{\nabla I \cdot r^{(2)}(p)\}$, $\Psi_{Lz} \equiv -\{\nabla I \cdot r^{(3)}(p)\}$, and where p gives the image coordinates of the pixel positions, and

where Λ is an $N_i \times 2N_L$ matrix where each row corresponds to a different image i and equals $\begin{bmatrix} \{P_U \cdot \delta A^i\}^T \\ \{P_L \cdot \delta A^i\}^T \end{bmatrix}$ where P_U and P_L are unit 3-vectors projecting onto two directions $A_i \times (\hat{z} \times A_i)$ and $\hat{z} \times A_i$ which we refer to respectively as the upper and lower

directions, where δA^i is the line flow $\delta A_l^i \equiv A_l^i - A_l^0$, and \hat{z} is the unit vector in the z direction and where S is a $N_i \times 2N_p$ matrix where each row corresponds to a different image i and equals $\left[\{s_x^i\}^T \{s_y^i\}^T \right]$, where $s_m^i \equiv q_m^i - q_m^0$ and denotes the image displacement for the m -th tracked point and where Δ is a $N_i \times N_x$ matrix, where each row corresponds to an image i and equals $\{\Delta I^i\}^T$ where ΔI is the change in image intensity with respect to the reference image and where I^i denotes the i -th intensity image and where $I_n^i = I^i(p_n)$ denotes the image intensity at the n -th pixel position in I^i and where the notation $\{V\}$ is used to denote a vector with elements given by the V^a .

5. The method of claim 1, wherein step (c) comprises:

computing the best rank-3 factorization of $\bar{D}_{CH} \approx M^{(3)} S^{(3)T}$ where $M^{(3)}, S^{(3)}$ are rank 3 matrices corresponding respectively to motion and structure, using SVD.

6. The method of claim 1, wherein step (d) comprises:

eliminating structure unknowns Q_z, B_z and Z^{-1} from the $\bar{\Phi}_a$ to get $3N_{tot}$ linear constraints on the U and Ω using the linear equation, $[\bar{\Phi}_x \ \bar{\Phi}_y \ \bar{\Phi}_z] = H^T S^{(3)} U + [\bar{\Psi}_x \ \bar{\Psi}_y \ \bar{\Psi}_z] \Omega$, where U and Ω are unknown 3x3 matrices, and $\bar{\Phi}$ and $\bar{\Psi}$ represent total translational and rotational flow vectors respectively, and solving these constraints with $O(N_{tot})$ computations using the

SVD, where the total translational flow vectors are defined by $\bar{\Phi}_x \equiv \begin{bmatrix} \Phi_x \\ \omega_L \Phi_{Lx} \\ \omega_I \Phi_{Ix} \end{bmatrix}$ and similarly

for the y and z components, and

$\Phi_{Lx} \equiv \begin{bmatrix} \{A_x B_U\} \\ \{A_x B_L\} \end{bmatrix}, \Phi_{Ly} \equiv \begin{bmatrix} \{A_y B_U\} \\ \{A_y B_L\} \end{bmatrix}, \Phi_{Lz} \equiv \begin{bmatrix} \{A_z B_U\} \\ \{A_z B_L\} \end{bmatrix}$ where A is the normal to a first plane that

passes through the center of projection and the imaged line in the reference image, and the normal to a second plane, B is defined by requiring $B \cdot A = 0$ and $B \cdot Q = -1$ for any point Q the 3D line L , and where $B_U \equiv B \cdot P_U$ and $B_L \equiv B \cdot P_L$ are the upper and lower components of B , and where

$$\Phi_x \equiv -\begin{bmatrix} \{Q_z^{-1}\} \\ \{0\} \end{bmatrix}, \Phi_y \equiv -\begin{bmatrix} \{0\} \\ \{Q_z^{-1}\} \end{bmatrix}, \Phi_z \equiv -\begin{bmatrix} \{q_x Q_z^{-1}\} \\ \{q_y Q_z^{-1}\} \end{bmatrix}$$

and where

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$$\Phi_{I_x} \equiv -\{Z^{-1}I_x\}, \Phi_{I_y} \equiv -\{Z^{-1}I_y\}, \Phi_{I_z} \equiv \{Z^{-1}(\nabla I \cdot p)\}, \text{ and}$$

where Q is the 3d coordinate for a 3D tracked point corresponding to an image pixel

in the reference image, and Z_n is the depth from the camera to the 3D point imaged at the n -

th pixel along the cameras optical axis; and

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recovering the structure unknowns Q_z , B_z and Z^{-1} from

$$[\overline{\Phi}_x \ \overline{\Phi}_y \ \overline{\Phi}_z] = H^T S^{(3)} U + [\overline{\Psi}_x \ \overline{\Psi}_y \ \overline{\Psi}_z] \Omega, \text{ given } U \text{ and } \Omega.$$

7. The method of claim 1, wherein step (e) comprises:

using $S^{(3)} U \approx [\overline{\Phi}_x \ \overline{\Phi}_y \ \overline{\Phi}_z]$ and

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$\overline{D}_{CH} \approx C^{-1/2} \{T_x\} \overline{\Phi}_x^T H^T + C^{-1/2} \{T_y\} \overline{\Phi}_y^T H^T + C^{-1/2} \{T_z\} \overline{\Phi}_z^T H^T$ to recover the translations, and

recovering the rotations $\omega_x^i, \omega_y^i, \omega_z^i$ from

$$\omega_x^i \overline{\Psi}_{xn} + \omega_{yx}^i \overline{\Psi}_{yn} + \omega_{zx}^i \overline{\Psi}_{zn} = C^{-1/2} \overline{D}_n^i - \left(C^{-1/2} \left(\{T_x\} \overline{\Phi}_x^T + \{T_y\} \overline{\Phi}_y^T + \{T_z\} \overline{\Phi}_z^T \right) \right)_n^i, \text{ wherein } C \text{ is a}$$

constant $(N_1-1) \times (N_1-1)$ matrix with $C_{ii'} \equiv \delta_{ii'} + 1$ and T represents the translation.

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8. A method for recovering 3D scene structure and camera motion from image data obtained from a multi-image sequence, wherein a reference image of the sequence is taken by

a camera at a reference perspective and one or more successive images of the sequence are taken at one or more successive different perspectives by translating and/or rotating the camera, the method comprising the steps of:

(a) initially recovering and compensating for camera rotation after warping the reference image by the previously estimated translational displacements;

(a) determining image data shifts for each successive image with respect to the reference image; the shifts being derived from the camera translation and/or rotation from the reference perspective to the successive different perspectives;

(b) constructing a shift data matrix that incorporates the image data shifts for each image;

(c) modifying the image shifts by multiplying the image shifts $\delta A_l^i, s_m^i, \Delta I_n^i$ and redefining these quantities to include the denominator factors $1 - Q_z^{-1} T_z$ for points, $1 - Z^{-1} T_z$ for intensities and $1 - B \cdot T$ for lines where $s_m^i \equiv q_m^i - q_m^0$ and denotes the image displacement for the m -th tracked point, where ΔI_n^i is the change in image intensity and where I^i denotes the i -th intensity image and where $I_n^i = I^i(p_n)$ denotes the image intensity at the n -th pixel position in I^i and where δA_l^i represents the line flow

(d) calculating two rank-3 factor matrices from the modified shift data matrix using SVD, one rank-3 factor matrix; corresponding to the 3D structure and the other rank-3 factor matrix corresponding to the camera motion;

(e) recovering the 3D structure from the 3D structure matrix by solving a linear

equation; and

(f) recovering the camera motion from the camera motion matrix using the recovered 3D structure.

9. The method of claim 8, wherein the image data is one or more selected from the group consisting of points, lines and intensities.

10. The method of claim 8, wherein step (b) comprises:

computing H and \bar{D}_{CH} , where H is a $(N_{tot} - 3) \times N_{tot}$ matrix and $\bar{D}_{CH} \equiv C^{-1/2} \bar{D} H^T$ and $N_{tot} \equiv 2N_p + 2N_L + N_X$, where N_p , N_L , and N_X equals the number of points, lines and intensities, respectively, and C is a $(N_i - 1) \times (N_i - 1)$ matrix with $C_{ii'} \equiv \delta_{ii'} + 1$ where $C_{ii'}$ is a constant table of values for all images and $\delta_{ii'}$ is an operator equal to 1 if $i = i'$ and 0 if $i \neq i'$

and $\bar{D} \equiv [S \omega_L \Lambda \omega_1 \Delta]$, H^T is the transpose of the H matrix, where H is a matrix defined such that H^T is an identity matrix and annihilates $\bar{\Psi}_x, \bar{\Psi}_y, \bar{\Psi}_z$ where $\bar{\Psi}_x^T \equiv [\Psi_x^T \omega_L \Psi_{Lx}^T \omega_1 \Psi_{Lx}^T]$ and similarly for the y and z components and where ω_1 and ω_L are constant weights that the

user sets, and where $\Psi_{Lx} \equiv \begin{bmatrix} \{P_U \cdot (\hat{x} \times A)\} \\ \{P_L \cdot (\hat{x} \times A)\} \end{bmatrix}$, $\Psi_{Ly} \equiv \begin{bmatrix} \{P_U \cdot (\hat{y} \times A)\} \\ \{P_L \cdot (\hat{y} \times A)\} \end{bmatrix}$, $\Psi_{Lz} \equiv \begin{bmatrix} \{P_U \cdot (\hat{z} \times A)\} \\ \{P_L \cdot (\hat{z} \times A)\} \end{bmatrix}$ where

A_i is the unit normal to the plane containing the line L and the camera center at the reference image, and where $\hat{x}, \hat{y}, \hat{z}$ are unit vectors in the x, y and z directions, and where

$\Psi_x \equiv \begin{bmatrix} \{r_x^{(1)}(q)\} \\ \{r_y^{(1)}(q)\} \end{bmatrix}$, $\Psi_y \equiv \begin{bmatrix} \{r_x^{(2)}(q)\} \\ \{r_y^{(2)}(q)\} \end{bmatrix}$, $\Psi_z \equiv \begin{bmatrix} \{r_x^{(3)}(q)\} \\ \{r_y^{(3)}(q)\} \end{bmatrix}$ where $q=(x,y)$ is the image position of the

tracked point in the reference image and

the three point rotational flows $r^{(1)}(x,y), r^{(2)}(x,y), r^{(3)}(x,y)$ are defined by

$$[r^{(1)}, r^{(1)}, r^{(1)}] \equiv \left[\begin{pmatrix} -xy \\ -(1+y^2) \end{pmatrix}, \begin{pmatrix} 1+x^2 \\ xy \end{pmatrix}, \begin{pmatrix} -y \\ x \end{pmatrix} \right] \text{ and where}$$

$\Psi_{Lx} \equiv -\{\nabla I \cdot r^{(1)}(p)\}$, $\Psi_{Ly} \equiv -\{\nabla I \cdot r^{(2)}(p)\}$, $\Psi_{Lz} \equiv -\{\nabla I \cdot r^{(3)}(p)\}$, and where p gives the image coordinates of the pixel positions, and

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normal to a second plane, B is defined by requiring $B \cdot A = 0$ and $B \cdot Q = -1$ for any point Q on the 3D line L,

and where $B_U \equiv B \cdot P_U$ and $B_L \equiv B \cdot P_L$ are the upper and lower components of B, and where

$$5 \quad \Phi_x \equiv -\begin{bmatrix} \{Q_z^{-1}\} \\ \{0\} \end{bmatrix}, \Phi_y \equiv -\begin{bmatrix} \{0\} \\ \{Q_z^{-1}\} \end{bmatrix}, \Phi_z \equiv -\begin{bmatrix} \{q_x Q_z^{-1}\} \\ \{q_y Q_z^{-1}\} \end{bmatrix}$$

and where

$$\Phi_{lx} \equiv -\{Z^{-1}I_x\}, \Phi_{ly} \equiv -\{Z^{-1}I_y\}, \Phi_{lz} \equiv \{Z^{-1}(\nabla I \cdot p)\}, \text{ and}$$

10 where Q is the 3d coordinate for a tracked 3D point in the reference image, and Z_n is

the depth from the camera to the 3D point imaged at the n -th pixel along the cameras optical axis; and

recovering the structure unknowns Q_z , B_z and Z^{-1} from

$$15 \quad [\overline{\Phi}_x \ \overline{\Phi}_y \ \overline{\Phi}_z] = H^T S^{(3)} U + [\overline{\Psi}_x \ \overline{\Psi}_y \ \overline{\Psi}_z] \Omega, \text{ given } U \text{ and } \Omega.$$

13. The method of claim 8, wherein step (f) comprises:

using $S^{(3)}U \approx [\overline{\Phi}_x \ \overline{\Phi}_y \ \overline{\Phi}_z]$ and

$\overline{D}_{CH} \approx C^{-1/2} \{T_x\} \overline{\Phi}_x^T H^T + C^{-1/2} \{T_y\} \overline{\Phi}_y^T H^T + C^{-1/2} \{T_z\} \overline{\Phi}_z^T H^T$ to recover the translations, and

recovering the rotations $\omega_x^i, \omega_y^i, \omega_z^i$ from

$$20 \quad \omega_x^i \overline{\Psi}_{xn} + \omega_{yx}^i \overline{\Psi}_{yn} + \omega_{zx}^i \overline{\Psi}_{zn} = C^{-1/2} \overline{D}_n^i - \left(C^{-1/2} \left(\{T_x\} \overline{\Phi}_x^T + \{T_y\} \overline{\Phi}_y^T + \{T_z\} \overline{\Phi}_z^T \right) \right)_n^i, \text{ wherein } C \text{ is a}$$

constant $(N_1-1) \times (N_1-1)$ matrix with $C_{ii'} \equiv \delta_{ii'} + 1$ and T represents the translation.

**A METHOD FOR RECOVERING 3D SCENE STRUCTURE AND CAMERA
MOTION FROM POINTS, LINES AND/OR DIRECTLY FROM THE IMAGE
INTENSITIES**

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ABSTRACT OF THE INVENTION

An algorithm for recovering structure and motion from points, lines and/or image intensities.

The algorithm combines feature based reconstruction and direct methods. The present invention is directed to a method for recovering 3D scene structure and camera motion from image data obtained from a multi-image sequence, wherein a reference image of the sequence

10 is taken by a camera at a reference perspective and one or more successive images of the sequence are taken at one or more successive different perspectives by translating and/or rotating the camera. The method comprising the steps of (a) determining image data shifts for each successive image with respect to the reference image; the shifts being derived from the camera translation and/or rotation from the reference perspective to the successive
15 different perspectives; (b) constructing a shift data matrix that incorporates the image data shifts for each image; (c) calculating two rank-3 factor matrices from the shift data matrix using SVD, one rank-3 factor matrix corresponding the 3D structure and the other rank-3 factor matrix corresponding the camera motion; (d) recovering the 3D structure from the 3D structure matrix using SVD by solving a linear equation; and (e) recovering the camera
20 motion from the camera motion matrix using the recovered 3D structure.

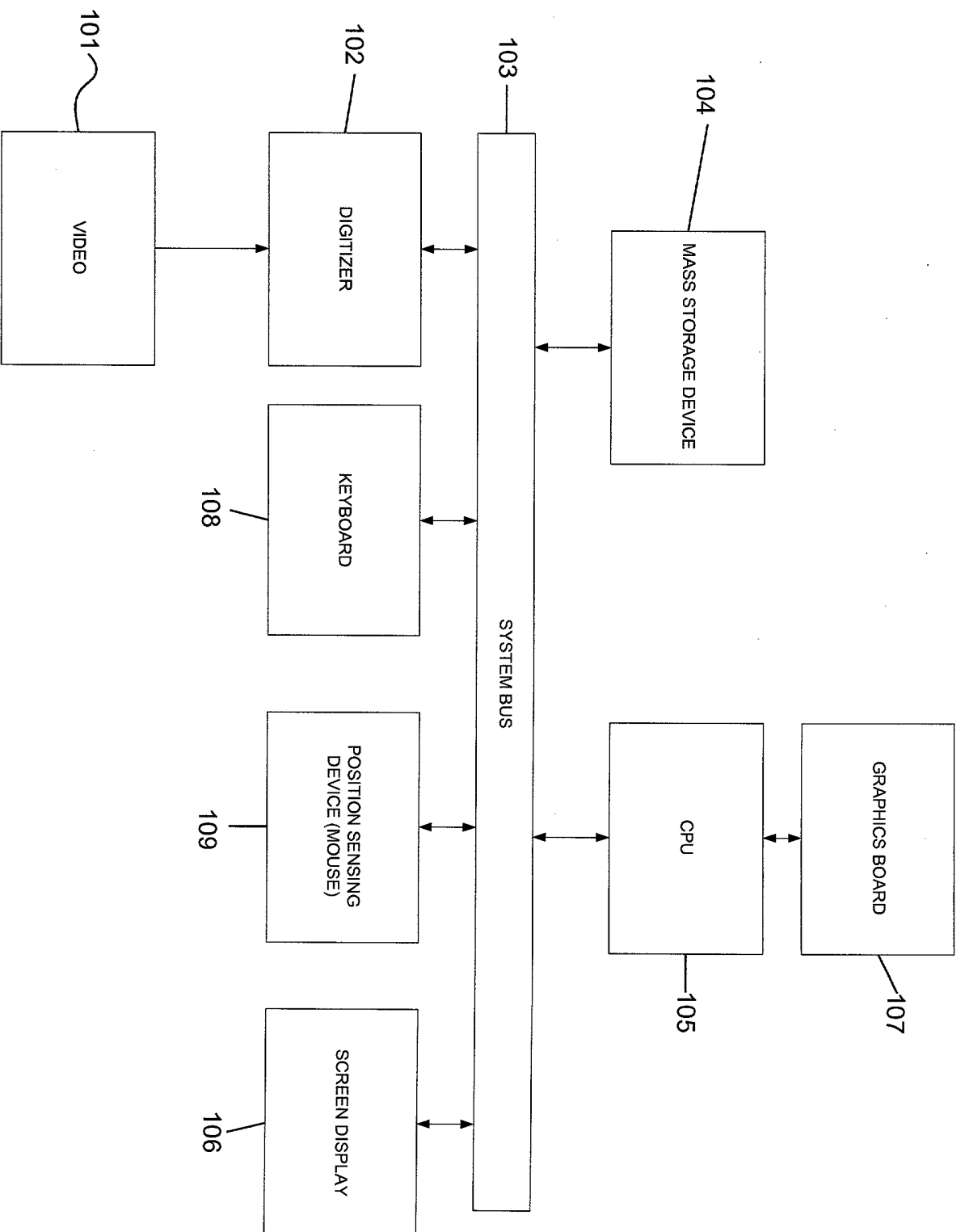


Fig. 1

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CAPTURE VIDEO IMAGES — 201

DIGITIZE VIDEO IMAGES — 202

DETERMINE IMAGE DATA
SHIFTS — 203

CONSTRUCT SHIFT DATA
MATRIX — 204

CALCULATE 3D
STRUCTURE MATRIX
AND CAMERA MOTION
MATRIX

RECOVER 3D
STRUCTURE — 206

RECOVER CAMERA
MOTION — 207

Fig. 2

Docket No.
13701 (NECI-1071)

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A METHOD FOR RECOVERING 3D SCENE STRUCTURE AND CAMERA MOTION FROM POINTS,
LINES AND/OR DIRECTLY FROM THE IMAGE INTENSITIES**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International
Application Number _____
and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(a) of any United States provisional

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 385(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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